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# Greater diffusion restriction in white matter in Preclinical Alzheimer's disease

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# Abstract

**OBJECTIVE:** The Alzheimer's Continuum is biologically defined by beta-amyloid deposition which, at the earliest stages, is superimposed upon white matter degeneration in aging. However, the extent to which these co-occurring changes are characterized is relatively under-explored. The goal of this study was to use Diffusional Kurtosis Imaging (DKI) and biophysical modeling to detect and describe amyloid-related white matter changes in preclinical Alzheimer's disease (AD).

**METHODS:** Cognitively unimpaired participants ages 45–85 completed brain MRI, amyloid PET (florbetapir), neuropsychological testing, and other clinical measures at baseline in a cohort study. We tested whether beta amyloid-negative (AB–) and -positive (AB+) participants differed on DKI-based conventional (i.e. Fractional Anisotropy [FA], Mean Diffusivity [MD], Mean Kurtosis [MK]) and modeling (i.e. Axonal Water Fraction [AWF], extra-axonal radial diffusivity [ $D_{e,\perp}$ ]) metrics, and whether these metrics were associated with other biomarkers.

**RESULTS:** We found significantly greater diffusion restriction (higher FA/AWF, lower MD/ $D_{e,\perp}$ ) in white matter in AB+ than AB– (partial  $\eta^2 = 0.08-0.19$ ), more notably in the extra-

POTENTIAL CONFLICTS OF INTEREST Nothing to report.

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AUTHOR CONTRIBUTIONS

AB, MFF, JAH, and JHJ contributed to the conception and design of the study; AB, SD, SFZ, EDH, WJR, MVS, PJN, and JHJ contributed to the acquisition and analysis of data; AB, KT, SD, EDH, WJR, PJN, and JHJ contributed to drafting the text or preparing the figures.

axonal space within primarily late-myelinating tracts. Diffusion metrics predicted amyloid status incrementally over age (AUC=0.84) with modest yet selective associations, where AWF (a marker of axonal density) correlated with speed/executive functions and neurodegeneration, whereas  $D_{\rm e,\perp}$  (a marker of gliosis/myelin repair) correlated with amyloid deposition and white matter hyperintensity volume.

**INTERPRETATION:** These results support prior evidence of a non-monotonic change in diffusion behavior, where an early increase in diffusion restriction is hypothesized to reflect inflammation and myelin repair prior to an ensuing decrease in diffusion restriction, indicating glial and neuronal degeneration.

### Keywords

diffusion MRI; white matter; myelin; gliosis; aging; preclinical Alzheimer's disease

# 1. Introduction

The Alzheimer's Continuum in Alzheimer's disease (AD) is biologically defined by amyloid deposition in the brains of individuals with or without other biomarker or clinical changes<sup>1</sup>. The presence of brain amyloid indicates risk for clinical progression in cognitively unimpaired older adults<sup>2</sup>. However, amyloid deposition in the preclinical stage co-occurs with other brain changes in aging including white matter degeneration, which results in cortical disconnection and cognitive decline<sup>3</sup>. Thus, it is important to examine the nexus of age-related changes and amyloid deposition to better illuminate the as-yet poorly understood pathogenesis of AD.

The literature describing the relationship between these changes in the preclinical stage using amyloid and diffusion imaging is conflicting. Similar to the literature that describes white matter degeneration in the clinical stages of  $AD^{4,5}$ , in preclinical AD, greater amyloid burden has been found to correlate with loss of white matter integrity as indicated by lower fractional anisotropy (FA) and higher mean diffusivity (MD)<sup>6</sup>. This is in contrast to prior studies that found no association<sup>7,8</sup>, and others that found the opposite effect. That is, studies have associated greater amyloid burden with paradoxically greater diffusion restriction (i.e. higher FA, lower MD)<sup>9–11</sup>. Although this finding may indicate possible compensatory or inflammatory processes, conventional diffusion metrics (i.e. FA, MD) lack biological specificity, precluding more definitive conclusions about the tissue-specific changes influencing the diffusion signal.

Thus, the overall goal of this study was to identify the microstructural changes in white matter associated with amyloid deposition in the preclinical stage. To achieve this, we compared metrics obtained via Diffusional Kurtosis Imaging<sup>12</sup> (DKI) and biophysical modeling<sup>13</sup> in cognitively unimpaired older adults with and without amyloid deposition. In addition to conventional diffusion metrics (i.e. FA, MD, mean kurtosis [MK]), we report modeling-derived White Matter Tract Integrity (WMTI) metrics of axonal density (i.e. Axonal Water Fraction [AWF]) and gliosis/myelin changes (i.e. extra-axonal radial diffusivity [ $D_{e,\perp}$ ]) to distinguish neuronal from glial changes, respectively. We have shown these metrics to be sensitive to white matter changes in aging<sup>14</sup> and across the clinical stages

Our first aim was to test whether beta amyloid-negative (AB–) and -positive (AB+) participants differed on conventional diffusion and modeling metrics. We hypothesized that if the AB+ group demonstrates greater diffusion restriction (i.e. higher FA and MK, lower MD), this effect would be present for  $D_{e,\perp}$  but not for AWF, supporting the presence of gliosis/myelin changes versus neurodegeneration in the preclinical stage. We also hypothesized that diffusion metrics would predict amyloid status incrementally over age, demonstrating the salience of these changes within the amyloid continuum even in the preclinical stage. Our second aim was to determine how diffusion metrics are associated with other disease indicators. We hypothesized that these metrics would correlate with amyloid burden, neurodegeneration, white matter hyperintensity volume, and cognition in directions that indicate their pathogenicity, and that modeling metrics would inform the tissue specificity of these findings.

# 2. Methods

### 2.1 Study Design, Setting, and Participants

Community-dwelling cognitively unimpaired older adults ages 45 to 85 were recruited and asked to provide informed consent to participate in an ongoing IRB-approved, prospective two-year observational cohort study. Only baseline data are reported here. Eligibility criteria included: no self-reported, previously diagnosed, or suspected cognitive impairment (defined by a normed MoCA score<sup>21</sup> of z < -1.0), English as a first/primary language, no MRI or PET contraindications, and no history of severe/unstable condition that affects cognition (e.g. stroke, brain cancer, seizures, serious mental illness, current alcohol or substance abuse). We enrolled 165 participants but 12 were excluded due to: drop-out/incomplete procedures (n=7), incidental findings on MRI (n=2), or suspected cognitive impairment (n=3). The remaining 153 participants completed all procedures described here.

# 2.2 Clinical Procedures

Participants completed history forms from the National Alzheimer's Coordinating Centers Uniform Dataset and Version 3 of the neuropsychological battery<sup>22</sup>. Raw scores were converted to demographically-normed (i.e. age, sex, years of education) z-scores<sup>23</sup> for the following preclinical AD-relevant cognitive domains<sup>24</sup>: memory, language, and speed/ executive functions.

Venous blood collected from each study participant was processed using an automated QIAcube system (Qiagen, Venlo, Netherlands) to extract purified DNA for genotyping. ApoE (2/3/4) genotypes were obtained using TaqMan Genotyping Assays (Applied Biosystems, Waltham, MA) including genotypes for single nucleotide polymorphisms at Reference SNP cluster IDs rs7412 and rs429358<sup>25</sup>, as performed in previous studies<sup>26,27</sup>. All genotyping experiments were performed on the Quantstudio 6 FLEX real-time PCR system (ThermoFisher, Waltham, MA) and interpreted with TaqMan Genotyper Software v1.6.0 (Applied Biosystems).

### 2.3 MRI Data Acquisition and Analysis

**2.3.1** Acquisition.—MRI scans were conducted on a 3T Prisma<sup>fit</sup> MRI system (Siemens Healthineers, Erlangen, Germany) with the following sequences: (1) T1-weighted 3D imaging using a magnetization prepared rapid gradient echo (MPRAGE) sequence with TR/TI/TE = 2300/900/2.26 ms, FOV =  $256 \times 256$  mm<sup>2</sup>, parallel imaging factor = 2,  $1 \times 1 \times 1$  mm<sup>3</sup> voxels. (2) T2-weighted fluid attenuated inversion recovery (T2-FLAIR) sequence with TR/TI/TE = 9000/2500/92.0 ms, FOV =  $220 \times 220$  mm<sup>2</sup>, parallel imaging factor = 2, voxel size  $0.9 \times 0.9 \times 2$  mm<sup>3</sup>. (3) DKI using bipolar diffusion weighted echo planar imaging (EPI) with 3 b-values (0, 1000, 2000 s/mm<sup>2</sup>) along 64 diffusion encoding directions, voxel size  $2.5 \times 2.5 \times 2.5$  mm<sup>3</sup>, TR/TE = 4600/85 ms, FOV =  $220 \times 220$  mm<sup>2</sup>.

**2.3.2 Analysis: Diffusion.**—The analysis pipeline is depicted in Figure 1. Briefly, pre-processing was conducted using an open-source program developed by our lab called PyDesigner<sup>28</sup> (v1.0-RC7; https://github.com/m-ama/PyDesigner). PyDesigner computes all parametric maps reported here, including AWF and  $D_{e,\perp}$  (see Supplementary Material at the end of the manuscript for a summary of their theory and equations, published previously<sup>13</sup>). Conceptually, AWF is the ratio of intra-axonal water over all MR-visible intra- and extra-axonal water, whereas  $D_{e,\perp}$  represents diffusivity perpendicular to the primary diffusion tensor eigenvector of the extra-axonal compartment. Tensor-based registration was performed with DTI-TK<sup>29</sup> (http://dti-tk.sourceforge.net) to bring all maps into a sample-specific template space. Normalized FA maps were averaged and skeletonised to preserve only voxels with a high probability of containing white matter via the custom implementation of FSL's Tract Based Spatial Statistics within DTI-TK. This was used to threshold the white matter skeleton before projecting it onto all other parametric maps to obtain skeletonised diffusion and WMTI maps. FSL's *randomise* was used to conduct the voxelwise statistical analyses of the skeletonised maps.

Consistent with prior observations that the white matter changes in AD preferentially occur in tracts that myelinate later in life<sup>15,30</sup>, we further expected to corroborate the voxelwise analyses results with region of interest (ROI) analyses of two late- and early-myelinating regions within the same tract: the genu and splenium of the corpus callosum, respectively. The genu contains proportionally more smaller-caliber axons compared to the splenium, which contains more large-caliber, directionally-coherent axons that are less vulnerable to aging<sup>31–33</sup>, albeit both (as well as the body and isthmus) have been observed to be altered in symptomatic AD<sup>34,35</sup>. Thus, we selected these ROIs from the JHU white matter atlas and nonlinearly registered these to sample template space from FMRIB58\_FA space. Mean metric values of these normalized ROIs (i.e. genu, splenium, and the result maps from the voxelwise analysis) were extracted from each participant's skeletonised parametric map for statistical analysis. The accuracy of the WMTI metrics is expected to be relatively high in these two ROIs since they both have high FA values (see Supplementary Material).

**2.3.3 Analysis: Neurodegeneration.**—The following biomarkers were selected based on a recent summary of morphometrics defining neurodegeneration across the AD continuum<sup>36</sup>: AD signature, global atrophy, hippocampal atrophy. First, all T1-weighted images were segmented using the FreeSurfer v6.0 *recon-all* processing stream. To facilitate

comparisons, FreeSurfer outputs were submitted to NOMIS<sup>37</sup>, a tool for generating normative z-scores per segment per participant, accounting for age, sex, head size, and scanner characteristics, with z-scores indicating deviations from the normative mean of almost 7,000 cognitively intact individuals. The AD signature is the mean of the following cortical thickness z-scores, averaged between hemispheres: entorhinal, inferior temporal, middle temporal, inferior parietal, fusiform, and precuneus. The global atrophy biomarker is the z-score of the *brainsegvol* segment, which is the sum of all structures including the cerebellum and ventricles. The hippocampal atrophy biomarker is the mean of bilateral hippocampal volume segment z-scores.

**2.3.4 Analysis: White matter hyperintensities (WMH).**—WMH were identified using the lesion growth algorithm<sup>38</sup> via the LST toolbox version 3.0.0 (www.statistical-modelling.de/lst.html) for SPM12 using default parameters. Briefly, T1 images were first segmented into GM, WM, and CSF. These segments were used along with co-registered T2-FLAIR intensities to calculate lesion belief maps. Resulting maps were thresholded at 0.25 to obtain an initial binary lesion map which was then grown along hyperintense voxels in the FLAIR image, resulting in a lesion probability map. Total lesion volume (in ml) is the WMH metric in this study.

### 2.4 Amyloid PET Data Acquisition and Analysis

**2.4.1** Acquisition.—Brain cortical amyloid burden was measured using <sup>18</sup>F-florbetapir (Amyvid<sup>TM</sup>). Each PET scan was obtained as a 3D acquisition over 10 min (one bed position) on a Siemens Biograph mCT Flow PET/CT scanner approximately 50 min following the injection of 370 MBq (10 mCi) of <sup>18</sup>F-florbetapir. Images were reconstructed using a 400 × 400 matrix (zoom × 2) with iterative (TrueX) and time of flight reconstruction algorithms. A standard low dose CT of the brain was acquired for attenuation correction. No participants reported adverse reactions.

**2.4.2 Analysis.**—Derivation of the amyloid PET metric replicated published approaches cited here. Freesurfer v6.0 was used to transform T1 images into Talairach space for segmentation. PET images were then linearly registered<sup>39</sup> to T1 in Talairach space. Standard uptake value (SUV) for six cortical regions sensitive to florbetapir uptake (anterior cingulate, posterior cingulate, parietal lobe, medial orbito-frontal lobe, middle temporal lobe, and precuneus) were extracted then normalized to the mean SUV of the reference region (cerebellum) to derive the SUV ratio<sup>40</sup> (SUVr). The non-weighted mean SUVr (mSUVr) for each participant was derived by computing the mean SUVr across all six cortical regions<sup>40,41</sup>. As in other studies<sup>42,43</sup>, the upper mSUVr tertile was used to identify AB+ participants (n=51 with mSUVR 1.21), while all other participants were deemed AB– (n=102). While this mSUVr cut-off is higher than cut-offs previously defined in the literature<sup>40,44</sup>, it is comparable to florbetapir values reported in MCI<sup>45</sup>, suggesting that this is a meaningful indicator of significant brain amyloidosis.

### 2.5 Statistical Analysis

Prior to testing the study aims, we sought to examine potential sources of bias or confounding by testing differences between the two groups in demographic, medical history,

cognitive, and imaging variables using independent samples t-tests, Fisher's exact tests, or Mann-Whitney U tests, as appropriate to data distributions. We then conducted general linear models using FSL's randomise, testing for voxelwise differences in the skeletonised diffusion maps between the AB+ and AB- participants, covarying for age and sex, using a threshold-free cluster-enhanced familywise-error-corrected p < 0.05. We thresholded and binarised the result maps per metric to create metric-specific ROIs which were registered to MNI space using FSL's FLIRT prior to rendering in MRIcroGL (https://www.nitrc.org/ projects/mricrogl). We extracted mean metric values of these voxelwise ROIs as well as of the normalized genu and splenium ROIs for each participant. We then ran analyses of covariance to test for group differences in these regional metrics, covarying for age and sex. Next, we constructed a series of logistic regressions to determine whether the diffusion metrics for the voxelwise ROIs, the 3 neurodegeneration biomarkers, or the 3 cognitive domains predicted amyloid status beyond age. Though not a typical AD biomarker, we also examined the predictive value of WMH volumes. From these models, we determined whether each group of variables was significantly associated with amyloid status and computed areas under the receiver operating characteristics curve (AUC) for each. To test how diffusion metrics relate with other disease indicators, we calculated partial Pearson correlations covarying for age, sex, and amyloid status; this allowed us to quantify the associations between the metric values of the voxelwise ROIs with the AD-relevant cognitive domains and imaging biomarkers.

# 3. Results

The two groups did not differ significantly on demographic characteristics, medical history, cognitive test performance, and imaging biomarkers except for mSUVR (t(52.2)=-9.1, p<0.001), which contributed to group assignment, and age (t(129.5)=-5.4, p<0.001) (Table 1). Percentages or medians [first quartile, third quartile] for the total sample are additionally provided for overall study sample characterization.

#### 3.1 Greater diffusion restriction predicts high amyloid burden

Voxelwise analyses showed AB+ participants demonstrate greater diffusion restriction (i.e. higher FA/AWF, lower MD/ $D_{e,\perp}$ ) in white matter than AB– participants (Fig 2A), with medium-to-large effect sizes (partial  $\eta^2 = 0.08-0.19$ ; Table 2B). Whereas diffusion metrics were significantly different in voxels throughout the brain, differences in WMTI metrics were localized to tracts in the corpus callosum, parietal, and temporal white matter, with more widespread voxelwise differences in  $D_{e,\perp}$  relative to AWF (Fig 2A), where  $D_{e,\perp}$  was significantly lower in AB+ than AB– (Fig 2C and Table 2B). Follow-up ROI analyses of the genu and splenium of the corpus callosum corroborated these voxelwise findings, with differences being greater in the late-myelinating genu than in the early-myelinating splenium albeit with smaller effect sizes (partial  $\eta^2 = 0.05$  for the genu ROIs and partial  $\eta^2 = 0.01-0.04$  for the splenium ROIs; Table 2B). MK did not differentiate the groups in either the voxelwise or ROI analyses.

Logistic regression models predicting amyloid status yielded several important findings (Fig 2B). First, the model AUC that included only age as an independent variable was 0.736,

and the beta coefficient for age was statistically significant ( $\beta$ =0.0992, *p*<0.0001). When the following sets of markers were added separately as independent variables to the model with age, no significant improvements were demonstrated in the AUCs: 3 neurodegeneration markers (AUC=0.741,  $\lambda^2$  = 2.19, 3df, *p*=0.53), 3 cognitive domains (AUC=0.742,  $\lambda^2$  = 2.38, 3df, *p*=0.50), WMH volumes (AUC=0.747,  $\lambda^2$  = 2.52, 1df, *p*=0.11). However, the logistic regression model predicting amyloid status using a combination of age and all 4 voxelwise diffusion metric ROIs yielded an AUC=0.838, a significant improvement over the model with only age as a predictor ( $\lambda^2$  = 33.4, 4df, *p*<0.0001). The AUC from this model was significantly greater than each of the AUCs from models with age plus other markers (i.e., neurodegeneration: *p*=0.008; cognition: *p*=0.011; WMH volume: *p*=0.021).

# 3.2 Greater diffusion restriction is associated with age, amyloid, cognition, neurodegeneration, and white matter hyperintensities

As expected, greater diffusion restriction (i.e. higher FA/AWF, lower MD/ $D_{e,\perp}$  of the voxelwise ROIs) was moderately associated with younger age (Fig 2C–D; r = |0.3 - 0.6|, Table 2A). Greater diffusion restriction (i.e. higher FA, lower MD) was associated with higher amyloid burden and lower WMH volumes, with these effects likely being driven by changes in the extra-axonal space (i.e.  $D_{e,\perp}$ , Table 3B). Only AWF was positively correlated with speed/executive functions (Table 3A) and both AWF and FA were positively correlated with neurodegeneration biomarkers where higher z-scores indicate normatively greater thickness or larger volumes (Table 3B). Similarly, MD was inversely correlated with the AD signature biomarker of neurodegeneration. All biomarker associations were relatively small in magnitude (r = |0.2 - 0.3|) and not corrected for multiple comparisons.

# 4. Discussion

White matter change in preclinical AD is characterized by greater diffusion restriction (i.e. higher FA/AWF, lower MD/ $D_{e,\perp}$ ) in the presence of high amyloid burden. Modelderived WMTI metrics localize these effects to tracts known to myelinate later in life, preferentially involving gliosis/myelin repair rather than axonal neurodegeneration. Thus, this study suggests that glia/myelin serve a pivotal role in the early evolution of AD, actively regenerating and responding to coincident pathology, dominating the signal in lieu of opposing age-related increased diffusivity. These findings yield fresh insights into the overlapping paths of normal aging and AD pathogenesis and the potential of innovative diffusion MRI-based modeling to detect dissociable tissue-specific changes with sensitivity and specificity. Importantly, they also highlight underexplored mechanisms upon which to focus therapeutic development for the earliest stage of disease.

At the preclinical stage, diffusion metrics appear to behave in opposition to the trend observed in aging and clinical AD (i.e. MCI, dementia) of more progressively widespread increases in diffusivity<sup>4,5</sup> due to age- and disease-related neurodegeneration. A unique strength of this paper is our use of WMTI metrics<sup>13–16</sup> (Fig. 3.A) that have been validated in model systems<sup>17–20</sup> to distinguish axonal degeneration (AWF) from gliosis ( $D_{e,\perp}$ ), thereby providing insight into which tissue changes contribute to greater diffusion restriction. The WMTI model is predicated on the well-supported assumption of minimal water exchange

between the intra-axonal and extra-axonal compartments over time scales comparable to echo time of the diffusion MRI sequence ( $\approx 50-100 \text{ ms}$ )<sup>13</sup>. Here, the axolemma and myelin serve as low permeability barriers between the two compartments. Presuming fast water exchange between glial cells and the extracellular matrix, we hypothesize that changes in extra-axonal diffusion likely reflect myelin dynamics (e.g. remodeling, repair, breakdown) but also to some extent the associated changes in type, number, orientation, morphology, differentiation, or proliferation of glial cells and processes.

Indeed, we have previously demonstrated correlations between diffusion metrics and histological markers of gliosis within peri-lesional cortex in experimental models of ischemia<sup>46,47</sup>, while in a clinical study of acute/subacute middle cerebral artery stroke we found significant changes in WMTI metrics within ischemic lesions<sup>48</sup>. Although axonal swelling or beading could certainly influence AWF due to a drop in intra-axonal diffusivity, our observation of a greater effect for  $D_{e,1}$ , both in effect size (Table 2) and spatial distribution (Fig. 2A), suggest that  $D_{e,\perp}$  change may be more likely due to gliosis. Studies of the corpus callosum using a cuprizone model support these inferences, in that microglial activation correlates with  $D_{e+}$  but not AWF<sup>17</sup>, and that extensive infiltration and proliferation of microglia/macrophages increase cellularity/barriers, thus increasing diffusion restriction<sup>18</sup>. These supportive findings notwithstanding, our interpretations still bear validation in experimental or transgenic models of AD, though this study replicates previously published findings that arrived at similar conclusions (reviewed below). It is also important to note that the magnitude of the diffusion restriction found here is substantially smaller than that observed in acute ischemia and other severe brain pathologies<sup>49</sup>, suggesting different mechanisms that have yet to be further explored. Thus, while all our interpretations are restricted by the technique's unique lens, these inferences are informed by the extant literature on myelin/glia and innovations in biophysical modeling, offering new perspectives and informed speculations on the nexus of healthy and pathological brain aging.

To this end, we therefore propose a conceptual model that outlines some hypothesized interpretations of white matter microstructural changes through the course of aging and AD. First, in preclinical AD, greater amyloid deposition associated with greater diffusion restriction particularly in the extra-axonal space suggests that white matter is in a state of myelin repair (Fig. 3.B). Here we define myelin repair as plasticity in myelin morphology to maintain neural function, staving off cognitive decline. Greater diffusion restriction as an indicator of myelin repair may reflect microglial activation and impaired phagocytosis of myelin debris, causing myelin vacuolation, fragmentation, and suboptimal remyelination<sup>50–52</sup>. In addition to barriers to diffusion resulting from amyloid deposition in white matter<sup>53</sup>, all of these effects plausibly decrease  $D_{e,\perp}$ . When this adaptive process fails and clinical symptoms emerge, our prior work suggests that white matter has proceeded to degenerate, initially involving myelin breakdown (i.e. demyelination as indicated by increased  $D_{e,\perp}$ ) in MCI (Fig. 3.C) with subsequent further breakdown and marked loss of axons (i.e. decreased AWF) in dementia<sup>15,16</sup> (Fig. 3.D). This perspective underscores that AD is inextricably linked with aging. That is, myelin repair and breakdown occur with (or perhaps are simply exacerbations of) normal, homeostatic, age-related myelin remodeling processes including spheroid formation, internode loss, and debris accumulation<sup>54,55</sup> (Figs. 3.E-F), ultimately leading to tissue atrophy over time. That our findings are localized to

The findings of this study are concordant with prior studies that show this biphasic association between diffusion tensor imaging of white matter and amyloid PET in cognitively unimpaired older adults $^{9-11}$ . To this literature, we further suggest that in the preclinical stage these effects are driven by gliosis/myelin repair which we can detect with WMTI modeling. Analogous studies that used modeling-derived diffusion techniques arrived at similar conclusions. With a sample of healthy and MCI/early AD dementia patients staged according to low, intermediate, and high amyloid PET burden, Dong et al.<sup>41</sup> found greater diffusion restriction (including higher AWF) in white matter in patients with intermediate versus low amyloid burden. Another study used a different modeling technique called neurite orientation dispersion and density imaging which they applied to analyze cortical microstructure in cognitively unimpaired participants with CSF amyloid and tau biomarkers. Here, Vogt et al.<sup>56</sup> report findings of greater intracellular diffusion restriction in the presence of amyloid and the opposite in the presence of greater disease severity, i.e. when both amyloid and tau were present at higher levels. In sum, these and the current study support a non-monotonic change in diffusion behavior, where an early increase in diffusion restriction is hypothesized to reflect gliosis/myelin repair prior to a decrease in diffusion restriction, indicating glial and neural degeneration. While it is not possible to image the specific cellular and molecular mechanisms alluded to here using diffusion MRI, biophysical modeling provides potent tools with which to study how these mechanisms might influence water diffusion, exchange, permeability, and barriers in translational studies spanning tissue, model systems, and humans.

This study has three main clinical implications. First, it suggests that the presence of amyloid is not the sole pathophysiological process occurring at the earliest stage of disease<sup>57</sup>. Insofar as myelin is concerned<sup>30</sup>, studies using model systems and human tissue have shown that myelin/oligodendrocyte dysfunction can precede amyloid pathology<sup>58-60</sup> in part via over-activation of myelin repair, leading to the inadvertent cleavage of amyloid precursor protein<sup>61</sup>. Thus, rather than a linear cascade of events, AD may be better conceptualized as synergistic, recursive, and critically, intimately tied to age-related homeostatic changes such as myelin remodeling/repair. Recent studies have indeed shown that treating myelin damage can reduce amyloid deposition and restore cognition $^{62-64}$ . As such, the second implication of these findings is that underexplored targets for treatment development and disease monitoring should be pursued for the very earliest stages of AD. For instance, selectively modulating innate immunity<sup>65</sup> to support myelin repair may be beneficial at the preclinical stage prior to neurodegeneration. In turn, inflammation may also be potentiated by degeneration of oligodendrocytes (the myelinating glial cell) and their precursors, suggesting that treating glycolytic stress<sup>66</sup> or senescence<sup>67</sup> in these cells may be fruitful. Related agents (e.g. anti-inflammation/immunomodulatory therapies) are currently in clinical trials<sup>68</sup> (none of which are targeting preclinical AD), but certainly future efforts to expand the drug development pipeline to include mechanisms described here are sorely needed, particularly in light of sobering results from anti-amyloid trials of both familial<sup>69</sup> and late-onset symptomatic AD<sup>70,71</sup>. Finally, studies such as these underscore the

importance of developing non-amyloid biomarkers for non-amyloid aspects of AD that are likely also highly relevant to disease pathogenesis and progression in aging brains. This is the motivating rationale behind innovations in diffusion MRI techniques such as those reported here, and in other diffusion MRI-based modeling<sup>56,72</sup> and complementary MRI techniques<sup>73–75</sup> as well.

This study has several strengths. First, in addition to employing a clinically applicable and validated WMTI modeling technique, a methodologically sophisticated registration method<sup>29</sup> was incorporated per best practice recommendations for the widely-used voxelwise analytic approach used here<sup>76</sup>, thus improving the rigor and reproducibility of these findings. Second, the AB- and AB+ participants in this group did not systematically differ on almost all demographic, medical, cognitive, and biomarker measurements, providing confidence that the attributions put forth are not influenced by other explanatory variables. Given the focus on white matter in this line of work, future studies would benefit from more comprehensive characterization of other leukotoxic insults (e.g. certain therapies [chemotherapy, radiation, immunosuppressive drugs], environmental toxins) or conditions (e.g. migraine, demyelinating [e.g. Multiple Sclerosis], autoimmune [e.g. lupus], or infectious [e.g. HIV] diseases, leukodystrophy) which were not explicitly queried in the health history forms used in this study. Third, we also enhance the construct validity of WMTI metrics, showing meaningful (albeit modest) dissociated correlations where the axonal density metric AWF (but not  $D_{e,\perp}$ ) was associated with speed/executive functions and neurodegeneration, whereas the gliosis metric  $D_{e,\perp}$  (but not AWF) was associated with mSUVr and WMH volume. All diffusion metrics were superior in predicting amyloid status over age and any other biomarker, highlighting the unique contribution of diffusion imaging to understanding AD pathogenesis. These and other strengths outweigh some notable weaknesses in this study, including: use of a convenience sample of mostly female, white, and well-educated participants; lack of other AD biomarkers with which participants can be fully characterized within the research framework<sup>1</sup>; the fact that WMTI is only valid in highly-aligned white matter fiber bundles (i.e. FA>0.4); absence of other white matterrelevant imaging or biofluid biomarkers to further corroborate these inferences; and use of only cross-sectional data (as longitudinal data are still forthcoming in this ongoing study). Future work will endeavor to address these limitations to improve study generalizability and maximize relevance to ongoing AD biomarker research.

In conclusion, the Alzheimer's Continuum in preclinical AD is associated with greater diffusion restriction in white matter, preferentially in regions that myelinate later in life and demonstrate the earliest AD-related changes. Potential mechanisms resulting in diffusion restriction include gliosis, innate immune activation/inflammation, and myelin repair, suggesting non-amyloid pathological changes upon which to focus disease monitoring or treatment development at the very earliest stages of disease.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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### Figure 1. Image analysis pipeline.

(a) Raw diffusion image (b = 0) in native space, (b) Pre-processed tensor map in native space, (c) Post-processed FA map in native space, (d) FA map in sample template space after DTI-TK registration, (e) Mean FA skeleton (red) thresholded at 0.2 overlain onto the mean FA map, (f) Mean FA skeleton (red) thresholded at 0.4 overlain onto the mean FA map, (g) Genu (blue, anterior) and splenium (blue, superior) from the Johns Hopkins University White Matter (JHU-WM) atlas in sample template space overlain onto the mean FA map.



#### Figure 2. Voxelwise and Region of Interest (ROI) analysis results.

<u>Column A</u>: Results of the voxelwise general linear models indicating the voxels that differed significantly between AB– (n = 102) and AB+ (n = 51) groups, covarying for age and sex, color-coded to delineate diffusion (FA = blue; MD = green; overlapping voxels shared by FA and MD = teal) and WMTI (AWF = blue;  $D_{e,\perp}$  = red; overlapping voxels shared by AWF and  $D_{e,\perp}$  = teal) metrics. Panel B: Receiver Operating Characteristic curves depicting logistic regression results with age or age plus neurodegeneration, cognition, or diffusion metrics from Column C as predictors of amyloid status, with area under the curve (AUC) statistics. Scatterplots of linear correlations between age (x-axes) and the raw mean metric values of the voxelwise (Column C), genu (Column D), and splenium (Column E) ROIs (y-axes), stratified by group (AB– = - markers, ----regression lines; AB+ = • markers and ---regression lines). FA = Fractional Anisotropy; MD = Mean Diffusivity; MK = Mean Kurtosis; AWF = Axonal Water Fraction;  $D_{e,\perp}$  = Extra-axonal diffusivity, radial direction.

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Time

Figure 3. A conceptual model of diffusivity changes in white matter in aging and Alzheimer's disease (AD).

Panel A: An illustration of the White Matter Tract Integrity model, from left to right: a myelinated axon bundle depicting extra-axonal diffusion; Axonal Water Fraction as the proportion of intra-axonal water over all (intra- and extra-axonal) MR-visible water indicates axonal density, whereas  $D_{e,\perp}$  indicates extra-axonal changes presumably due to gliosis and myelin changes; a cross-section of idealized healthy white matter, with earlyand late-myelinating axon bundles in the left and right sides of the image, respectively, identical to the younger brain tissue depicted in Panel E. Note that the background gradient in each cross-section is only intended to visually draw attention to the difference between early- and late-myelinating axon bundles (left and right, respectively) and has no inherent meaning. Panel B: Greater diffusion restriction in preclinical AD due to gliosis/ myelin repair processes in late-myelinating tracts. Panel C: When repair fails, myelin breakdown predominates in the symptomatic Mild Cognitive Impairment (MCI) phase prior to progressing to more widespread and severe myelin breakdown and axonal loss, driving global atrophy of white matter observed in AD dementia (Panel D). Panel F: In contrast, normal aging is characterized by homeostatic myelin remodeling with a modest loss of primarily late-myelinating axons and some myelin breakdown, contributing to age-related white matter atrophy.

### Table 1.

Sample Characteristics and Imaging Biomarkers by Amyloid Status (N= 153).

	AB-( <i>n</i> = 102)	n	AB+( <i>n</i> = 51)	n	Full Sample	
A. Demographics				-		
Age (years)*	64.9 (9.7)	102	72.5 (7.2)	51	68.6 [60.6, 74.9]	
Female	73, 71.6%	102	34, 66.7%	51	107, 69.9%	
White	92, 90.2%	102	48, 94.1%	51	140, 91.5%	
Education (years)	16.2 (2.5)	102	15.8 (2.7)	50	16.0 [14.0, 18.0]	
Right-handed	92, 90.2%	102	46, 90.2%	51	138, 90.2%	
B. Medical History						
MoCA total score	27.1 (2.4)	102	26.5 (2.6)	51	27.0 [25.0, 29.0]	
ApoE4 allele carrier	39, 38.2%	102	17, 33.3%	51	56, 36.6%	
Blood pressure, systolic (mmHg)	133.6 (17.6)	102	139.0 (16.5)	51	135.0 [121.0, 147.5]	
Blood pressure, diastolic (mmHg)	76.4 (10.0)	102	77.2 (10.5)	51	76.0 [71.0, 82.0]	
Body mass index (kg/m <sup>2</sup> )	28.2 (6.8)	102	29.2 (6.0)	49	27.4 [24.1, 31.6]	
Depression within past 2 years	5, 5.7%	88	4, 8.9%	45	9, 6.8%	
Diabetes	9, 8.9%	101	7, 14.0%	50	16, 10.6%	
Hypercholesterolemia	26, 25.7%	101	16, 32.7%	49	42, 28.0%	
Hypertension	32, 31.4%	102	19, 38.0%	50	51, 33.6%	
Sleep disorder, apneas	12, 11.8%	102	10, 20.0%	50	22, 14.5%	
Sleep disorder, insomnia	4, 3.9%	102	4, 8.0%	50	8, 5.3%	
Thyroid disorder	20, 19.6%	102	11, 22.4%	49	31, 20.5%	
Tobacco use, within past 30 days	4, 3.9%	102	1, 2.0%	50	5, 3.3%	
Tobacco use, >100 cigarettes lifetime	44, 43.1%	102	22, 44.0%	50	66, 43.4%	
Traumatic brain injury	5, 5.1%	99	1, 2.0%	49	6, 4.1%	
Vitamin B12 deficiency	10, 9.8%	102	4, 8.0%	50	14, 9.2%	
C. Cognitive Factors (z-scores)						
Memory	-0.9 (1.3)	102	-1.2 (1.1)	51	-1.1 [-1.9, -0.1]	
Language	-0.8 (1.6)	102	-0.6 (1.3)	51	-0.8 [-1.7, 0.3]	
Speed/Executive Functions	-0.7 (1.5)	102	-1.2 (1.8)	51	-0.7 [-1.6, 0.3]	
D. Imaging Biomarkers						
Amyloidosis						
mSUVr*	1.1 (0.1)	102	1.5 (0.3)	51	1.2 [1.1, 1.3]	
Neurodegeneration (z-scores)						
AD Signature	-0.0 (0.7)	102	-0.2 (0.8)	51	-0.1 [-0.5, 0.5]	
Global atrophy	0.7 (1.0)	102	1.0 (1.1)	51	0.7 [0.1, 1.4]	
Hippocampal volume	0.2 (0.9)	102	0.1 (0.8)	51	0.2 [-0.4, 0.7]	
White matter hyperintensities						
Lesion volume (ml)	2.1 [0.6, 4.5]	102	2.8 [1.3, 5.5]	50	0.7 [2.3, 4.7]	

*Note:* Values are expressed as Mean (Standard Deviation); Number, % of Subgroup or Sample Total; or Median [Q1, Q3]. mSUVr = Mean standardized uptake value ratio. Variables with group differences

\* p < 0.001.

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### Table 2.

Age Correlations and Analyses of Covariance of the Metric Regions of Interest (N= 153).

	A. Age	B. ANCOVA				
	Pearson's	AB-(n = 102)	<b>AB</b> + $(n = 51)$			Partial
Metric ROIs	<i>r</i> *	EMM (SE)	EMM (SE)	F	<i>p</i> -value	$\eta^2$
FA						
Voxelwise ROI	-0.50	0.417 (0.002)	0.436 (0.003)	34.1	< 0.001	0.186
Genu	-0.50	0.557 (0.004)	0.578 (0.006) 7.8		0.006	0.050
Splenium	-0.30	0.658 (0.004)	0.674 (0.006)	5.2	0.026	0.033
MD						
Voxelwise ROI	0.58	0.953 (0.004)	0.924 (0.005)	19.3	< 0.001	0.115
Genu	0.53	1.064 (0.008)	1.028 (0.011)	7.2	0.008	0.046
Splenium	0.45	1.026 (0.007)	1.002 (0.010)	4.1	0.044	0.027
МК						
Genu	-0.41	0.997 (0.008)	1.017 (0.011)	2.2	0.138	0.015
Splenium	-0.32	1.126 (0.011)	1.130 (0.015)	0.0	0.842	0.000
AWF						
Voxelwise ROI	-0.49	0.396 (0.003)	0.414 (0.004)	13.7	< 0.001	0.084
Genu	-0.51	0.405 (0.003)	0.420 (0.004)	8.0	0.005	0.051
Splenium	-0.31	0.461 (0.004)	0.470 (0.005)	1.9	0.171	0.013
D <sub>e,⊥</sub>						
Voxelwise ROI	0.52	1.015 (0.005)	0.971 (0.008)	22.6	< 0.001	0.132
Genu	0.47	1.031 (0.011)	0.980 (0.015)	7.4	0.007	0.047
Splenium	0.35	0.947 (0.010)	0.905 (0.014)	6.4	0.013	0.041

Note: Covariates included age and sex. EMM = Estimated Marginal Means; SE = Standard Error; ROI = Region of Interest.

\* All correlations were statistically significant at p 0.001.

### Table 3.

Partial Correlation Coefficients of the Associations Between Cognitive Domain Factor Scores and Imaging Biomarkers with Metric Values of the Voxelwise Regions of Interest (N= 153).

	FA	MD	AWF	D <sub>e,⊥</sub>
A. AD-Relevant Cognitive Domains	-			
Memory factor	-0.02	-0.00	-0.14	-0.01
Language factor	0.04	0.01	-0.09	0.06
Speed/Executive Functions factor	0.11	-0.08	0.18*	-0.02
<b>B. Imaging Biomarkers</b>				
Amyloidosis				
mSUVr <sup>a</sup>	0.28**	-0.28**	0.16	-0.28**
Neurodegeneration				
AD Signature	0.22 **	-0.23 **	0.18*	-0.15
Global atrophy	0.21 **	-0.05	0.17*	-0.06
Hippocampal volume	0.14	-0.08	0.20*	-0.07
White matter hyperintensities				
Lesion volume	-0.24 **	0.20*	-0.13	0.31 **

Note: Partial correlations controlled for age, sex, and amyloid status.

<sup>a</sup>Covariates were age and sex.

mSUVr = Mean standardized uptake value ratio.

$$p < 0.05$$
,

\*\* p<0.01.

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